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By:

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01/11/00

Sir:

Transmitted herewith for filing is the

[X] patent application of MICHAEL D. DOYLE

For METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API SIMULATION TO ENABLE USE OF BROWSER PLUG-INS AND APPLETS AS EMBEDDED WIDGETS IN SCRIPT-LANGUAGE-BASED INTERACTIVE PROGRAMS

[X] This application claims priority from the following Application Nos./filing dates:

60/115,502, filed January 11, 1999

the disclosure(s) of which is (are) incorporated by reference.

Enclosed are:

[X] 1 sheet(s) of [] formal [X] informal drawing(s).

[X] A [] signed [X] unsigned Declaration & Power of Attorney

[X] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [] is enclosed [] was filed in the prior application and small entity status is still proper and desired.

[X] A 186 page hard copy of a source code (microfiche to be provided later).

(Col 1)

(Col. 2)

SMALL ENTITY

OTHER THAN
SMALL ENTITY

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	8 - 20	= *0
INDEP. CLAIMS	5 - 3	= *2
[] MULTIPLE DEPENDENT CLAIM PRESENTED		

RATE	FEE
	\$345.00
x \$9.00 =	\$0 00
x \$39.00 =	\$78.00
+ \$130.00 =	
TOTAL	\$423.00

OR

OR

OR

OR

OR

OR

RATE	FEE
	\$760.00
x \$18.00 =	
x \$78.00 =	
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[X] Filing fee

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Respectfully submitted,

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PATENT APPLICATION

**METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API
SIMULATION TO ENABLE USE OF BROWSER PLUG-INS AND
APPLETS AS EMBEDDED WIDGETS IN SCRIPT-LANGUAGE-
BASED INTERACTIVE PROGRAMS**

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**METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API
SIMULATION TO ENABLE USE OF BROWSER PLUG-INS AND
5 APPLETS AS EMBEDDED WIDGETS IN SCRIPT-LANGUAGE-
BASED INTERACTIVE PROGRAMS**

CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation of and claims the benefit of U.S.

- 10 Provisional Application No. 60/115,502, filed January 11, 1999, the disclosure of which
is incorporated herein by reference.

BACKGROUND OF THE INVENTION

The Interactive Content Paradigm

- 15 Computer application paradigms go through a constant process of
evolution. Fifteen years ago, personal productivity applications typically were text-based,
ran in full screen mode, and each application tended to employ a unique set of user
interaction commands. As multi-windowed graphical user interface systems became
popular, applications developed for these environments began to adopt standard types of
20 interface models, to minimize users' confusion as they switched back and forth among
multiple open applications. As a result of feature competition among vendors,
productivity applications built for these windowed environments began to grow larger
and larger. Simultaneously, users began to demand easy transfer of data between
documents created with various applications. This led to the creation of compound-
25 document architectures, such as Microsoft's Object Linking and Embedding (OLE)
system. OLE-based applications were designed from a document-centric mindset,
allowing several large monolithic productivity applications to share a single document
view and "trade off" the graphical interface, so that the user wouldn't have to move his or
her eyes away from the document being worked upon as each application was
30 interactively activated (usually with a double click on a bitmap in the document) by the
user. This model worked well enough when only a few major applications were involved,

and when the user was primarily interested in editing documents which would be printed for use. The growth of the Web, however, ushered in a new use for document files - as a direct tool for networked communications and information retrieval.

The Web's new plug-in and applet technology created a new paradigm for application development. This new system focused on the document as a container for multiple small embedded program objects, rather than as the OLE-style task switcher of the Windows model. This new system also ushered in a new interaction paradigm, as well. The Web document became the primary application, with the document automatically choreographing the collection of document component data and the activation of the embedded applications necessary to present the user with a single and seamless interactive interface to the document's content.

The UCSF/Eolas WAPI

The Web-document-as-application model was first established at the University of California, San Francisco's Center for Knowledge Management. Their work, and their proposal for a standard Web API (WAPI), was described in the lead article of the February, 1996, issue of Dr. Dobb's Journal. This technology allowed the creation and use of "plug-in" applications that Web content authors could easily employ to dynamically extend the functionality of WAPI-enhanced Web browsers and Web pages at viewing time, without having to modify the actual browser code. Although WAPI did not, itself, become an industry standard, it inspired later commercial systems that went on to transform the entire computer software industry.

The Netscape Plug-In API

Essentially modeled upon the UCSF/Eolas WAPI, the Netscape browser plug-in API created a *de-facto* standard that has been implemented in all major browsers. This resulted in the subsequent development of hundreds of plug-ins that were then made freely available online, all of which conform to a standard architecture. The availability of such a large number of application components has made the very popular and powerful platform for application development. The Web document parsing model allows late binding of these application components, together with runtime fetching of component-specific data objects, thereby insuring that users can both always enjoy the latest improvements to component programs and always see the latest updates to embedded object data. It also allows application design to be independent of underlying

computer system architecture. This has proven to be a very effective means for application integration with legacy software systems, proving very popular among corporate applications developers.

Scripting Languages

5 Modern scripting languages, such as Tcl/Tk, provide the ability to write text scripts which define interactive applications through run-time parsing of script files. Similar to the situation with Web pages, this type of architecture provides for late binding of application functionality through such runtime script parsing. Tcl/Tk provides a standard APIs for enhancing both the command set and widget set of the interpreter
10 through interpreter extension that are loaded at runtime. Although this provides some advantages similar to those of browser plug-ins, there are not nearly as many widget extensions available as there are browser plug-ins. Furthermore, the interpreter extension mechanism did not make provision for late binding of component application code with application data, as the browser plug-in model does.

15 What is Needed

 A system is needed that allows general program development systems to benefit from the large number of embeddable applications, in the form of browser plug-ins, that are available to easily expand the functionality of these development platforms at run-time, as well as to provide runtime binding of component logic with
20 remotely-networked data objects.

SUMMARY OF THE INVENTION

 The present invention provides these useful advantages through the novel and counterintuitive synthesis of existing industry-standard Web browser technology with
25 script language interpreter extension technology. Although widgets are developed specifically for use as extensions to a particular scripting language the base to support development of such applications is small compared with the base to support development of plug-in applications. Thus, the present invention allows script based applications to tap into the vast pool of functional resources provided by web-browser
30 plug-in applications.

 According to one aspect of the invention, plug-in extension code includes code for parsing a program script to detect an embed command, for fetching an object

referenced by the embed command, and simulating a web-browser interface to automatically invoke a web-browser plug-in identified by the data format of the object.

According to another aspect of the invention, the plug-in interface allows web-browser plug-ins to be used by the script-based application as embedded widgets.

5 According to another aspect of the invention, the plug-in interface allows the plug-in application to interactively display and manipulate the object in a child window controlled by the script-based application.

According to another aspect of the invention, the object is fetched using standard internet protocols such as http or file access.

10 According to another aspect of the invention, the interpreter extension mechanism provides for late binding of code and application data.

Other features and advantages of the invention will be apparent in view of the following detailed description and appended drawings.

15 **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a layer diagram of a preferred embodiment of the invention; and Fig. 2 is a block diagram of a standard computer system.

DESCRIPTION OF THE SPECIFIC EMBODIMENTS

20 A preferred embodiment will now be described that utilizes the Tool Command Language and Tool Kit (Tcl/Tk) which is scripting language useful for WWW-based applications. Tcl/Tk was developed by John Ousterhout and is described in his book entitled, *Tcl and the Tk Toolkit*, Addison-Wesley, ISBN 0-201-63337-X, 1994. However, the invention is generally applicable to any scripting language such as, for
25 example, Perl, Python, Rexx, or Visual Basic.

In a preferred embodiment, an extension to a scripting language simulates a browser from the point of view of a plug-in, in order for the plug-in to be embeddable within a script program interface, and manipulable in a manner similar to a native widget. Program code in the extension provides the same functions and interfaces to a plug-in as
30 provided by an industry-standard Web browser application programming interface (API). From the plug-in's viewpoint, the extension appears to be a conventional Web browser. Data objects are referenced in "SRC" parameters in the scripting language command syntax. These data files are fetched at run time using standard Web protocol conventions

(e.g.: http or file access). The script text file is parsed by the script interpreter at run time to identify language commands. A new script command is created which mimics a standard hypermedia embed text format. This command then causes the plug-in-interface extension to invoke code in the extension that allows the browser plug-in to be

5 automatically invoked in order to allow display and interaction with embedded objects, whereby those embedded objects are treated by the scripting language platform as interactive widgets which can be embedded within the script program graphical user interface.

One embodiment of the invention employs a "wrapper" utility, written in

10 the script language, which defines a procedure which is able to parse and respond to an embed text format (an EMBED tag) which may be copied from a conventional HTML page and pasted into a program script. The wrapper utility procedure does this by parsing the EMBED tag, fetching the file defined by the "src=" attribute of the tag, and invoking the plug-in extension, while passing any associated EMBED tag parameters to the plug-in

15 as passed arguments via the script language's argument passing mechanism.

Fig. 1 is a layer diagram of a preferred embodiment of the invention. The upper block 10 of Fig. 1 represents a standard scripting language configuration including a user-interaction interface, script-based program, script interpreter, and extension API.

Sub-blocks 12 and 14 represent different script interpreter plug-in

20 extensions sub-blocks. Each sub-block includes a plug-in extension which simulates a web-browser interface to the browser plug-in application and also allows the plug-in application to interactively control and manipulate the fetched object in window controlled by the script-based program.

The following is a list of API functions simulated by the plug-extension in

25 a preferred embodiment to simulate the plug-in interface of a Netscape web-browser:

Example Netscape browser plug-in API functions to be simulated:

```

#ifndef XP_UNIX
    NPError NPN_GetValue(NPP instance, NPNVariable variable, void *value);
5  #endif /* XP_UNIX */
    void NPN_Version(int*plugin_major, int*plugin_minor,
        int*netscape_major, int* netscape_minor);
    NPError NPN_GetURLNotify(NPP instance, const char* url,
        const char* target, void* notifyData);
10  NPError NPN_GetURL(NPP instance, const char* url, const char* target);
    NPError NPN_PostURLNotify(NPP instance, const char* url,
        const char* target, uint32 len, const char* buf, NPBool file,
        void* notifyData);
    NPError NPN_PostURL(NPP instance, const char* url,
15  const char* target, uint32 len, const char* buf, NPBool file);
    NPError NPN_RequestRead(NPStream* stream, NPByteRange* rangeList);
    NPError NPN_NewStream(NPP Instance, NPMIMEType type, const char*
        target, NPStream** stream);
    int32 NPN_Write(NPP instance, NPStream* stream, int32 len, void*
20  buffer);
    NPError NPN_DestroyStream(NPP instance, NPStream* stream, NPReason
        reason);
    void NPN_Status(NPP instance, const char* message);
    const char* NPN_UserAgent(NPP instance);
25  void* NPN_MemAlloc(uint32 size);
    void NPN_MemFree(void* ptr);
    uint32 NPN_MemFlush(uint32 size);
    void NPN_ReloadPlugins(NPBool reloadPages);
    JRIEnv* NPN_Get_JavaEnv(void);
30  jref NPN_GetJavaPeer(NPP instance);

```

	Function name	Description
35	NPN_GetValue	Get a value
	NPN_Version	Return the major & minor version Numbers for the Plugin protocol
40	NPN_GetURLNotify	Get a Stream URL and return the result via a call to NPP_URLNotify
	NPN_GetURL	Get data from a URL.
45	NPN_PostURLNotify	Post data to a server.
	NPN_PostURL	Post data to a server.

5	NPN_RequestRead	Request a range of bytes from a seekable stream to be sent to the plugin in subsequent NPP_Write calls, An fseek equivalent.
10	NPN_NewStream	Creates a new stream for data flow from plug-in to browser.
	NPN_Write	Called from the plugin to write data to a stream created with NPN_NewStream.
15	NPN_DestroyStream	Destroy the stream created with the NewStream call.
20	NPN_Status	A line of text sent by the plugin to the browser, ostensibly to display on the 'status' line.
25	NPN_UserAgent	Retrieves the User-Agent field from the Navigator. Just ship back a pro-defined string?
	NPN_MemAlloc	Allocates memory, map to TclAlloc.
	NPN_MemFree	Frees memory, map to TclFree.
30	NPN_MemFlush	Frees memory in the browser to make more room for plugin.
	NPN_GetJavaEnv	Returns the Java execution environment.
35	NW_GetJavaPeer	Returns the plug-in associated with a Java object.

Summary of basic system elements for a Tcl/Tk implementation.

- 40 • A new set of Tcl commands that load and operate plug-ins. Code in the plug-in-interface extension (a Tcl/Tk loadable extension, see Practical Programming in Tcl/Tk, by Brent B. Welch, 2nd Edition, pub: (1997) Prentice Hall; ISBN: 0136168302 reference for Tcl/Tk extension API) provide the same functions and interfaces to a plug-in as provided by an industry-standard Web browser
- 45 • Parsing code in the plug-in-interface extension allows the standard <EMBED...> tag parameters to be passed as part of the Tcl command call
- Code in the plug-in-interface extension allows data objects referenced in "SRC" parameters to be fetched using standard conventions (e.g.: http or file access)

- Code in the plug-in-interface extension allows script to be parsed to identify embed text formats and to automatically invoke the browser plug-in application
- Code in the plug-in-interface extension allows the plug-in application to be automatically invoked to allow display and interaction with embedded objects, whereby those embedded objects and their associated plug-in applications are treated by the scripting language platform as widgets.
- Code in the plug-in-interface extension allows the plug-in application to display and provide interactive processing of a data and/or program object within a child window, said window which is embedded within a Tcl/Tk-controlled window, under control of the program script.

The details of the implementation of a preferred embodiment are set forth in the source code appendix to this patent application.

FIG. 2 is an illustration of basic subsystems of a computer system suitable for use with the present invention. In FIG. 2, subsystems are represented by blocks such as central processor 180, system memory 181 consisting of random access memory (RAM) and/or read-only memory (ROM), display adapter 182, monitor 183 (equivalent to display device 153 of FIG. 3), etc. The subsystems are interconnected via a system bus 184. Additional subsystems such as a printer, keyboard, fixed disk and others are shown. Peripherals and input/output (I/O) devices can be connected to the computer system by, for example serial port 185. For example, serial port 185 can be used to connect the computer system to a modem for connection to a network or serial port 185 can be used to interface with a mouse input device. The interconnection via system bus 184 allows central processor 180 to communicate with each subsystem and to control the execution of instructions from system memory 181 or fixed disk 186, and the exchange of information between subsystems. Other arrangements of subsystems and interconnections are possible.

The invention has now been described with reference to the preferred embodiments. Alternatives and substitutions will now be apparent to persons of skill in the art. In particular, the invention is applicable to all extensible scripting languages and is not limited to any particular set of existing scripting languages. The differences between scripting languages and system languages and the fundamental characteristics of scripting languages are set forth in an article by John Ousterhout entitled, *Scripting: Higher Level Programming for the 21st Century*, IEEE Computer Magazine, March, 1998.

Further, although the preferred embodiment simulates the plug-in interface of a Netscape browser application, the nature of extensible scripting language allows the principles of the invention to be extended to any browser application.

Accordingly, it is not intended to limit the invention except as provided by
5 the appended claims.

09431984 "01.1.00

WHAT IS CLAIMED IS:

1. A method for extending script language functionality utilizing web-browser plug-in applications, with the script language for implementing scripts defining interactive applications through run-time parsing and with the script language interpreted by an interpreter having standard application programming interfaces (APIs) for enhancing the command set and widget set of the interpreter through interpreter extensions loaded at run-time, said method, implemented by a plug-in interpreter extension, comprising the steps of:

parsing a program script to locate an embed command;
 parsing the embed command to locate a source reference and
 source format information;
 fetching an embedded object referenced by said source reference;
 automatically invoking a browser-plug in application based on the
 source format information; and
 creating a child window, controlled by the plug-in interpreter
 extension, to allow said plug-in application to display and interactively manipulate said
 embedded object.

2. The method of claim 1 where said step fetching comprises:
utilizing a standard internet protocol to fetch the object.

3. A method for extending script language functionality utilizing web-browser plug-in applications, with the script language for implementing scripts defining interactive applications through run-time parsing and with the script language interpreted by an interpreter having standard application programming interfaces (APIs) for enhancing the command set and widget set of the interpreter through interpreter extensions loaded at run-time, said method, implemented by a plug-in interpreter extension, comprising the steps of:

parsing a program script to locate an embed command;
 parsing the embed command to locate a source reference and
 source format information; and

fetching an embedded object referenced by said source reference;

12 simulating a web-browser plug-in API to automatically invoke a
13 plug-in application corresponding to said source format information; and
14 creating a child window, controlled by the plug-in interpreter
15 extension, to allow said plug-in application to display and interactively manipulate said
16 embedded object.

1 4. A computer program product comprising:
2 a computer-readable storage structure for storing plug-in-interface
3 extension program code for extending the functionality of a script interpreter platform by
4 employing web-browser plug-in applications as components within script based
5 programs, said program code comprising:
6 parsing program code for causing a computer to parse the
7 standard embed tag parameters to be passed as part of a scripting language command call;
8 program code for causing a computer to fetch data objects
9 referenced in "SRC" parameters standard conventions (e.g.: http or file access);
10 program code for causing a computer to parse script to
11 identify embed text formats and to automatically invoke the browser plug-in application
12 to display and interact with embedded objects, whereby those embedded objects and their
13 associated plug-in applications are treated by the scripting language platform as widgets.

1 5. The computer program product of claim 4 where said plug-in-
2 interface extension program code further comprises:
3 program code for causing the computer to allow the plug-in
4 application to display and provide interactive processing of a data and/or program object
5 within a child window, said window which is embedded within a plug-in-interface
6 extension -controlled window.

1 6. The computer program product of claim 4 where said program
2 code for causing a computer to fetch data objects further comprises:
3 program code for causing the computer to fetch data objects
4 utilizing internet data transfer protocols.

7. A method, performed by a computer, for extending the functionality of a script program interpreter by employing web-browser plug-in applications as components within script based programs, said method comprising the steps of:

- 5 parsing standard embed tag parameters to be passed as part of a scripting language command call;
- fetching data objects referenced in "SRC" parameters standard conventions (e.g.: http or file access); and
- parsing script to identify embed text formats and to automatically
- 10 invoke the browser plug-in application to display and interact with embedded objects, whereby those embedded objects and their associated plug-in applications are treated by the scripting language platform as widgets.

8. A method for extending script language functionality utilizing web-browser plug-in applications, with the script language for implementing text scripts

15 defining interactive applications through run-time parsing and with the script language interpreted by an interpreter having standard application programming interfaces (APIs) for enhancing the command set and widget set of the interpreter through interpreter extensions loaded at run-time, said method comprising the steps of:

- 20 including a hypermedia-embed-text-format-mimicking command in a text script;
- parsing a text script at run-time to identify language commands;
- when said hypermedia-embed-text-format-mimicking command is identified:
- 25 fetching an embedded object referenced by said hypermedia-embed-text-format-mimicking command;
- invoking a plug-in interface extension of the interpreter to automatically invoke a browser plug-in application associated with the embedded object; and
- 30 utilizing the associated browser plug-in to display and interact with the embedded object in a window controlled by the text script.

**METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API SIMULATION
TO ENABLE USE OF BROWSER PLUG-INS AND APPLETS AS EMBEDDED
WIDGETS IN SCRIPT-LANGUAGE-BASED INTERACTIVE PROGRAMS**

ABSTRACT OF THE DISCLOSURE

A system is described which provides the capability for high-level extensible scripting language interpreters to incorporate and employ Web browser plug-ins as components within script-based programs. A script interpreter extension is
5 described which simulates the plug-in API interface of a Web browser, from the point of view of the browser plug-in, to allow program scripts to cause browser plug-ins to be launched and manipulated, in a manner similar to the scripting platform's native widgets, from within the executing program script. This system allows embedded external
10 program objects to be used to display and enable interactive processing of embedded data objects.

System Diagram

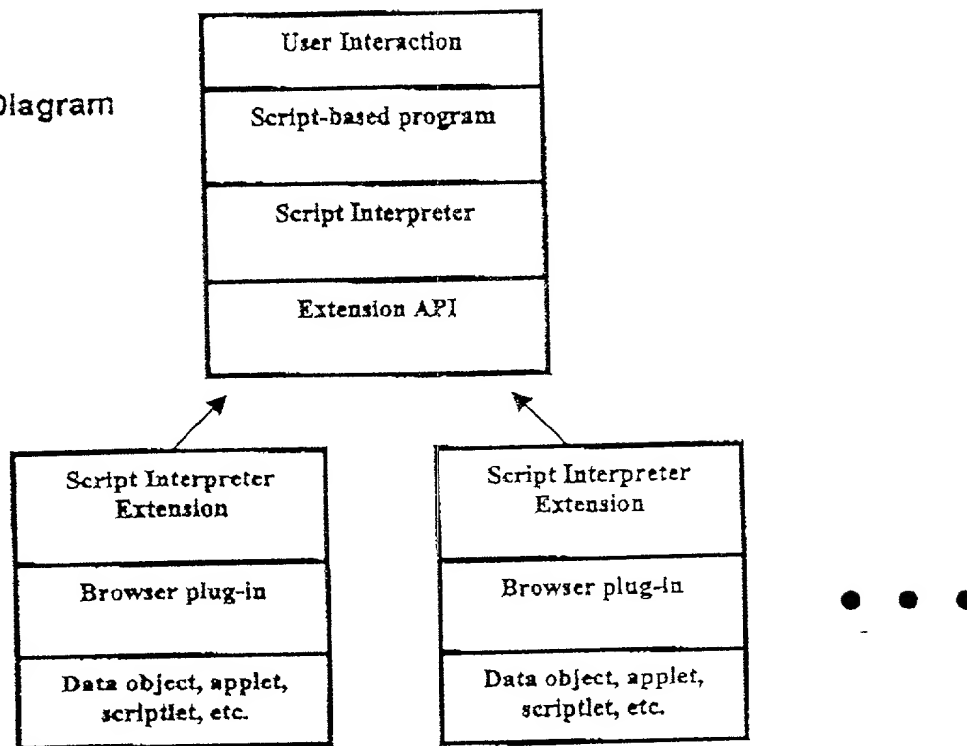


Figure 1: A layer diagram of a typical implementation of the invention using a scripting language platform such as Tcl/Tk. A script interpreter extension provides functions that mimic the browser-side interface of a Web browser plug-in API

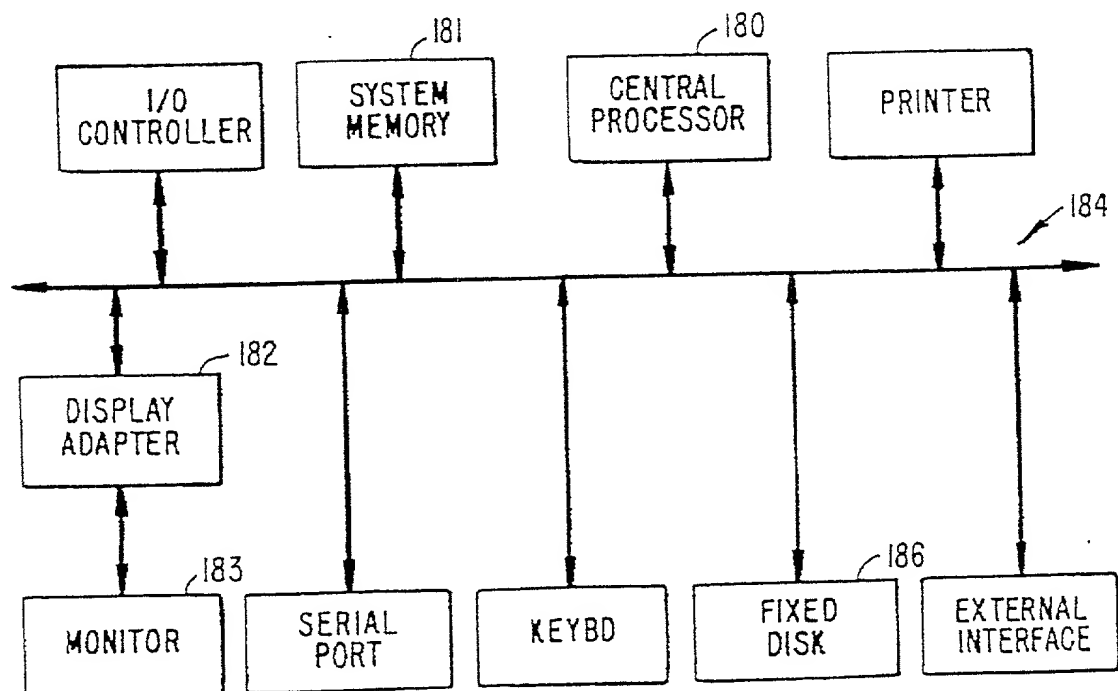


Fig. 2

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND SYSTEM FOR HYPERMEDIA BROWSER API SIMULATION TO ENABLE USE OF BROWSER PLUG-INS AND APPLETS AS EMBEDDED WIDGETS IN SCRIPT-LANGUAGE-BASED INTERACTIVE PROGRAMS** the specification of which X is attached hereto or ____ was filed on _____ as Application No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/115,502	January 11, 1999

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Charles E. Krueger , Reg. No. 30,077

Send Correspondence to: Charles E. Krueger TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834	Direct Telephone Calls to: (Name, Reg. No., Telephone No.) Name: Charles E. Krueger Reg. No.: 30,077 Telephone: 415-576-0200
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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
_____	_____	_____
Michael D. Doyle		
Date	Date	Date